



4BC

OSMM&N File No. 211244US2SDIV

Dept.: IP-I

By: MJS/phh

Serial No. 09/911,461

In the matter of the Application of: Hideo ANDO, et al.

For: RECORDING, EDIT, AND PLAYBACK METHODS OF AUDIO INFORMATION, AND INFORMATION STORAGE MEDIUM

Due Date: 05/03/2004

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- Information Disclosure Statement

■ PTO-1449

- Cited References (4)
- JAPANESE Office Actions (2) (with English translations)



Docket No. 211244US2SDIV

#### IN THE UNITED STATES ND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hideo ANDO, et al.

SERIAL NO: 09/911,461

GAU:

2161

FILED:

July 25, 2001

**EXAMINER:** 

FOR:

RECORDING, EDIT, AND PLAYBACK METHODS OF AUDIO INFORMATION, AND INFORMATION STORAGE MEDIUM

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references cited in the attached two Japanese Office Actions listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak Registration No. 24,913

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

BEST AVAILABLE COPY

SHEET 1 OF 1

Form PTO 1449		U.S. DEPARTMENT	OF COMMERCE	ATTY DOCKET NO. 211244US2SDIV			SERIAL NO. 09/911,461			
(Modified)		PATENT AND TRA	DEMARK OFFICE							
			_	APPLICANT						
LIST OF	REFE	RENCES CITED PYTA	PHICANT	Hideo ANDO, et al.						
		/0	(S)	FILING DATE			GROUP			
0 6 7000 B				July 25, 2001			2161			
July 25, 2001 2161  FEB U.S. PATENT DOCUMENTS										
EXAMINER		DOCUMENT NUMBER & TH	DATE	NAME		CLASS	SUB	FI	LING DATE	
INITIAL	L	NUMBER & TE	EAIL .	NAME		CLASS	CLASS	IF A	PPROPRIATE	
<u></u>	AA	ļ								
·	AB									
	AC					_				
	AD									
	AE				-					
	AF		ļ							
	AG									
	AH		<u> </u>							
	Al		ļ	·						
	AJ		•							
	AK						·			
	AL									
	АМ									
	AN									
FOREIGN PATENT DOCUMENTS										
	DOCUMENT DATE			COUNTRY			TRANSLATION			
		NUMBER	DAIL		·		YES		NO	
	AO	2001-52467	02/23/2001	JAPAN					X	
	AP	2000-611279	2000	JAPAN (submitting corr. WO 00/62295)						
	AQ	WO 00/62295	10/19/2000	WIPO (with English Abstract)					X	
	AR	7-284064	10/27/1995	JAPAN					. <b>X</b>	
	AS	WO 95/16990	06/22/1995	WIPO (with English Abstract)					X	
	ΑT									
	AU									
	AV									
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
	AW									
	AX				<del></del>				1	
	AY									
	AZ	·				Addi	tional Refe	rences s	heet(s) attached	
Examiner					Date Considered					
*Examiner: Iniconformance	*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									